Enhancing Florida’s Cancer Surveillance and Registry Data: Implementation of Physician Office Data Capture

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Today’s Webinar

- Audience: Medical Oncologists, Hematologic Oncologists, and Urologists office staff
- Cancer Surveillance in Florida
- Role of Florida Cancer Data System
  - Existing data capture model
  - New data capture model
- Getting started
  - Registration
  - Data Submission
- Questions
Florida Cancer Data System Background

- Legislatively Mandated – 1978
  - DOH was mandated to collect health information on all cancers diagnosed and treated in the state and enforce compliance

- Statewide, Population-Based, Incidence
Reporting Legislation

Florida Statute 385.202 provides for the establishment of a statewide cancer surveillance system

- Facility Reporting covered under
  - Florida Statute 395 – Hospitals
  - Florida Statute 408.07 – Radiation therapy centers

- Physician Reporting covered under
  - Florida Statute 391
  - Florida Statute 483
  - Rule 64D3
Florida Cancer Data System

- **Incidence Only**
  - Snapshot of patient/cancer at time of diagnosis and first course of therapy

- **Annual data submission (100% electronic)**
  - 185,000 Cancer Records
    - ~ 115,000 Incidence
  - 150,000 Death Records
  - ~ 500,000 Inpatient Discharge Records
  - ~ 500,000 Outpatient/Ambi Surgery discharge
  - Over 1 million pathology records
HIPAA and Data Security

- FCDS is legislatively mandated public health surveillance
  - Exempt from the data release provisions in HIPAA
- No patient Release of Information is necessary

- Data transfer using state of the art web-based secure and encrypted transfer protocols
- Encrypted database
- Database behind 2 firewalls

No HIPAA Issues

Data Security
Current Facility–Based Procedures
(Hospitals, RT and Ambi Surgical facilities)

- A professional abstractor completes a full and complete abstract on each cancer patient
  - Using nationally prescribed standards and codes
  - Take approximately 30–60 minutes to complete
The diagnosis and management of cancer has evolved and no longer fits the model implemented in the late 1970’s when FCDS designed the data collection structure.

- Diagnosis and treatment of many cancers shift from the hospital to the private practitioner’s office.
Cancer Dx/Management Model is Changing

- As more and more cancer patients become cancer survivors, more information is needed by the medical community to improve the quality of life for our cancer survivors

- Survival is no longer the only salient endpoint
Ramifications of old Model on Cancer Surveillance

- Underestimates of the incidence of certain cancers
  - Diagnosis/treatment taking place outside hospital

- Treatment incomplete
  - Not capturing the full first course of treatment
    - Specifically chemotherapy
Ramifications of Under Reporting and Incomplete Data on the Cancer Patient

Data used by policy makers
- Misallocation of funds and services
  - Inability to identifying areas/subgroups in need

Data used by researchers
- Incorrect/ incomplete/misleading:
  - Sampling frame for patient studies
  - Data for hypothesis driven research
  - Trends over time
Overview of the New Model
Integrating/Consolidating Data from Multiple Sources

- Hospitals
- Ambulatory Surgery Centers
- Radiation Therapy Centers
- Pathology laboratories
- Mortality

- Physician offices
  - Diagnosing or treating cancer

Result: a more complete picture
Impact on Physician Office

- Minimal operation/financial impact
- 100% electronic submission – No paper forms to complete
- Two reporting Options
  - 1) Electronic using existing data or
  - 2) Single entry
Electronic submission of existing data

- Medical insurance claims data
  - National standard record layout currently used by private practitioners through the state and nation
    - Patient demographic data
    - Procedure data with dates
    - Granular level treatment data
  - Omit any financial data
  - Filter on list of ICD–9 cancer diagnoses
Physician Office Reporting Using Medical Claims Data

- Data submitted to FCDS at the same time physician’s normal insurance submission

- Using existing insurance industry standard record layout (837 record v5010)
  - NO FINANCIAL DATA
Physician Office Reporting Option 2

- Single entry into web-based reporting module

- Using medical claims elements
  - No professional abstractor necessary
  - Any office staff member can input data
Why Use Medical Insurance Claim Elements

- Established national standard
  - Data submission and data elements

- Can be made compatible with cancer surveillance standards
  - Crosswalk/derive treatment/procedure codes to cancer registry codes
  - Anti-neoplastic agents, RT, Hormones
  - Ancillary therapies to enhance chemo tolerance
Physician Reporting Specific Aims

- Operationalize the transfer of data using a national standard to virtually eliminate the private physician reporting burden

- FL cancer surveillance enhancement priorities
  - Identify missed case (physician office only cases)
  - Enhance treatment data
    - First course
    - Subsequent treatment
  - Active date of last contact
Pilot Tested Methodology
Pilot Test

- Florida Cancer Specialists
  - Dr. Scott Tetreault
  - Mr. Todd Schonherz
  - Claims vendor: Unlimited Systems
    - Brian Glockerman

Special Thanks for their Outstanding Support and Assistance
Florida Cancer Specialists: Pilot Test Overview

- Unlimited Systems – Claims processing
  - Upload via SFTP
    - Interval set by Unlimited Systems

- Nationally standard electronic insurance submission
  - The 837 record in a 5010 version
    - Every insurance claim in the nation is submitted using this record and layout
FCS Pilot Test – Overview

• Data uploads began in July 2012

• ~ 3.7 million rows of data submitted
  • Last quarter 2011 – present
  • Relational database – patient, diagnosis, procedures

• Received via SFTP – parsed into FCDS database
  • Translation, consolidation and analysis software developed
Case Identification using Florida Cancer Specialists Data

- 29% missed cases

- Distribution of missed cases not random
  - ~ 15% leuk/lymph
  - ~ 10% myelodysplastic syndrome
  - ~ 11% GYN and prostate
Case Augmentation using Florida Cancer Specialists Data

- 71% match rate at patient/tumor level
- Augmented date of last contact and treatment data on 100% of cases
Physician/Practice Benefits
Immediate: Follow-up Requests

- Physician office will **no longer need** to provide annual patient follow-up requests to ACoS hospitals
  - Resulting in saving private practitioners time and money
    - FCDS will provide the date of last contact to hospital-based cancer registries
      - Date of last contact and treatment only

- Estimated savings to practice in staff time ~ .25 FTE
FCDS/Physician Partnership

- Work with physicians to identify and automate critical quality measures specific to oncology practices
  - Estimated cost savings to physician office

- FCDS will combine multiple data streams to provide most of the physician/practice specific data items utilizing the FCDS files:
  - Claims data
  - Statewide Registry
  - Histopathology reports
  - Clinical pathology test results
Getting Started
Phased Approach

- **Phase 1: Physician registration**
  - Using Physician Personal Identifier (PPID) located in the top right of the DOH letter, access Physician registration page and complete contact information and election of reporting option
    - If Option 1 – Electronic submission
    - If Option 2 – Single entry. Additional webinar necessary for office staff training and access to web-based form

- **Phase 2: Reporting data**
Physician Office Registration

Using PPID on DOH Letter
1) Verify information
2) Assign contact person
3) Indicate MU2 plans

4) Select reporting option

Option 1 – Electronic Submission
- Work with vendor
- Technical support to vendor
  - Data standards
  - System access
  - Transmission admin

Option 2 – Single Entry
- Work with office staff
- Technical support to staff
  - Create User Account
    - Username/Password
    - Data submission and standards

1) Verify information
2) Assign contact person
3) Indicate MU2 plans
To enter the Physician page click the Physicians tab on Menu bar or the green Physician Registration box.
Physician Page

Buttons linking physician office personnel to appropriate material
First Time entering the FCDS Physician Registration:
Choose Physician Practice Type
Practice Type – Classify each Florida Physician

- Member (employee) of an institutional practice
  - Hospital
  - Medical School
  - Medical/Cancer Center

- Member of a private practice
  - Sees patients in a private office
    - May also see patients in institutional setting
Input the Physician Personal Identifier found on the top right of your DOH Letter. Please keep this number secure for future reference.
A message will appear the first time the Physician Info panel is displayed. Click the Close button to continue with registration.
Hospital Based Physician
(Data from DOH License Files merged with NPI Files)

Review everything. The only field the user is required to fill in is the Physician’s email address.

If this is not the current mailing address for this physician please correct. Click the Save button at the bottom of the screen when all data has been entered.
A confirmation message is displayed stating that Physician has been registered and an email sent.

You may enter more physicians for this practice by clicking Ok. If you are only registering one physician click Exit to leave the program.
If you clicked OK you will be taken to the PPID entry screen. Input the PPID on the next Physician letter you received.
The system keeps the previous information to make entry easier. The DOH license address is populated by default. If you want a new contact click Clear Contact Info. If you want to use the previous address click Copy Previous.

If you want to use a completely different address use the backspace key to erase

Clear information entered from previous screen.
For Each new Physician Registered an e-mail is Sent to the Physician

The Physician Registration Confirmation email states that the Physician is registered as a Hospital Based Physician and that No Further Reporting is necessary.
Final Screen
For Physicians Only Seeing Patients in Hospital/Institutional Setting

- **NO FURTHER ACTION IS REQUIRED ON YOUR PART**
  - We are already receiving your enhanced data through the facility

- Should your affiliation change, please update your profile

- Thank you for registering.
Physicians that are a part of a Private Practice
Private Practice Physician

Registration and Creating FCDS IDEA User Account

Please click the appropriate responses

In addition to registering the physician, your office will need to have an FCDS IDEA User Account. This launches the creation of the IDEA User Account.
FCDS IDEA: Create User Account – To be Completed by the Office Staff Responsible for Physician Reporting

All fields with * are required fields.

Requires a valid e-mail.

E-mail addresses are unique may only be used once.
Completing your IDEA User Account Creation

An e-mail will be sent with your user name and instructions to activate your account.

Check ‘Junk Mail’ and put on ‘Safe Sender’ list
Sample of Activation e-mail

Click link to enable user access to the FCDS system

Username Assigned
When the link (Click here to Activate your Account) is clicked, the following message will appear confirming the activation of your user.

To log into the FCDS IDEA system and begin registering physicians, click the link (Click here to continue Physician’s registration process).
Register Private Practice
Physician(s) Office Contact

Using your user credentials login into the FCDS IDEA system
Physician Office Dashboard: What do you want to do?

Choose from the list of options under the Physician Tab.

To Register a Physician click the radio button to the left of ‘Register/Modify a Physician’
Register Physician? Enter PPID received in the mail

Please enter the Personal Physician Identifier. This is a 12 character code supplied by FCDS to the doctor in the Physician Activation Request letter.

Physician Personal Identifier: BC45Q2X3X43  Find

If the Physician Personal Identifier has been lost before the profile has been established, please contact FCDS at (385) 243-4600 to request a new Physician Personal Identifier be sent to the physician.
Confirming New Registration

Information Alert

The Physician associated with this Personal Identifier has not been registered in our system. Please complete the following Registration form making sure to supply all required information. Once complete, an email will be sent to the Contact email containing additional instructions. A copy of the email will be sent to the Physician.

Click the Help icon (pictured above) located in the lower left corner of the Application Window for assistance with any of the FCD5/IDEA Applications. Point your mouse at the help icon for additional instructions on using the help feature.

Close
Private Practice Physician Registration

Same as Facility based physician with one additional question regarding Meaningful Use Stage 2
Upon Completion of Physician Registration Select Data Transmission Method

- Electronic upload
  - Claims data
- Single entry
Data Transmission Methods

- Medical Claims submission
  - Work with your vendor for electronic submission of all cancer patient claims
    - Using insurance industry standard format (837 v5010)
    - Upload complete form FCDS 24/7
      - Will not import any financial information

- Web-based single entry
  - Submit medical claim elements for every cancer patient seen in the practice
Select your Data Transmission Method
If Vendor Claims Upload is Chosen Complete the Vendor Information

A Vendor information sheet is available in the Physician Data Reporting Manual that you may send to your claims software Vendor.
If the physician elects to use the FCDS single entry for their claims submission, the “Reporting Type” section reflects this.
Claims Vendor Registration

Click appropriate button
Claims Vendor Registration

Create FCDS IDEA User Account
Create Confirmation e-mail
Activate Account

Do not reply, this is an automated message.

A new FCDS IDEA USERID has been created for

Please click on the included link to activate the account.
Click here to activate your Account.
You may also copy/paste this link into your web browser.

Authorization accepted.

You have created and authorized an FCDS IDEA user account. Please click the link below to access FCDS IDEA.

Click here to go to the FCDS IDEA Applications.

Direct any comments or questions to Melissa Williams, 305.243.2641
Log in: Username Supplied in e-mail; Password Generated by user

*Authorized Access only.*

Major yearly system maintenance September 1-17. FCDS IDEA will not be available during this time. FCDS will be converting the entire state registry database to NAACCR V12.2. During that time, FCDS IDEA will be disabled and entry and uploads will be turned off. We hope to be done by Sept. 6, but are reserving the extra time for unexpected conversion issues. Thank you for your patience.
Select Type of Upload
SFTP Data Transfer

SFTP is a secure FTP protocol that allows the secure (encrypted) transfer of information, typically over the Internet. FCDS allows the ability to upload Physician Claims Information in ANSI 837 - 2010 format. In order to upload data using SFTP, FCDS requires that an account be setup.

To request access to upload Physician Claims Information please fill out the information below. FCDS will create an SFTP account. When complete you will be contacted with the credentials needed to access this account.

Company Name: BIG UPLOADER, INC
Contact Name: Emanual Bytes
Contact Phone Number: (305)623-0360
Contact Email: claims1@compusec.com

Special Notes Regarding SFTP implementation
BIG UPLOADER, INC has been authorized by Dr. Williams of Sarasota to upload claims data to you. Please contact me at your earliest convenience so that we can get this process set up.

Thank you,
Emanual

Request SFTP Account Activation
Vendor Generates e-mail to FCDS. FCDS will Contact Vendor for details

SFTP Account setup request.
Thu 2/14/2013 1:05 PM
From: claims1@compuace.com
To: epauls@compuace.com
Cc: claims1@compuace.com

Message

User: ets - EMANUAL BYTES is requesting that an SFTP account be setup for the transfer of Physician Claims Information.

The information supplied for this request is:

**Company Name:** BIG UPLOADER, INC  
**Contact Name:** EMANUAL BYTES  
**Contact Number:** (305)623-0360  
**Contact Email:** claims1@compuace.com  
**Special Notes Regarding SFTP implementation**  
BIG UPLOADER, INC has been authorized by Dr. Williams of Sarasota to upload claims data to you. Please contact me at you earliest convenience so that we can get this process set up. Thank you, Emanuel
HTTPS Data Transfer
Browse to file; Select Options; click “Upload”
Single Entry

- Medical insurance claims elements
- Complete one form per patient seen in your practice
- No software to purchase
  ◦ Data entry via FCDS web-based single entry module
- Any office member can complete the form
Single Entry – Form
Single Claims Entry

- A focused webinar to instruct the practice(s) how to complete the single entry form will be held by the end of April, 2013

- Florida Physicians’ Cancer Reporting Manual 2013 is available on the FCDS Web site
Registration, Compliance and Data Submission Information

Please direct questions to:

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(305) 243–2639
General Project Information

- Dr. Youjie Huang
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  - (305) 243–3426
  - Jill_Mackinnon@miami.edu

- Dr. Monique Hernandez
  - (305) 243–9673
  - MHernandez5@med.miami.edu
Open the Line for Questions

- Please enter 1 0 on your phone and you will be placed in the question queue
Thank You