Developing and Working with Survival Data

NAACCR 2010-2011 Webinar Series

Questions

Fabulous Prizes!

Question of the Month!
- The participant that submits the best question of the session will receive a fabulous Prize!

Tip of the Month!
- The participant that sends in the best tip related to the topic will win a spectacular prize!
Agenda

• Overview
• Need for death clearance
• Survival and NDI
• Data quality
• Break

• SEER*Prep; SEER Stat
• Survival issues:
  • NAACCR 2011
• Closing remarks

Survival statistics, and surviving statistics! An overview and update about cancer survival rates
June 2, 2011

Dr. Donna Turner, Epidemiologist
Provincial Director, Population Oncology
Cancer Care Manitoba

Dr. Hannah Weir, Epidemiologist
Division of Cancer Prevention and Control
Centers for Disease Prevention and Control

The findings and conclusions in this presentation are those of the presenter and do not necessarily represent the official position of the Centers for Disease Prevention and Control.

Overview

• The evolution of population-based cancer survival
• EUROCare and CONCORD studies
• Cancer Control in the UK and Canada
• Useful websites
Clinical vs. Population-based Survival

- Clinical trials - highest achievable survival
  - Patient focus: "How long do I have, doc?"
  - Clinical focus: Value of one treatment vs. another
- Population - survival achieved
  - Impact of cancer control initiatives (across the spectrum of initiatives)
    - Targeting and monitoring cancer control initiatives
    - Policy-setting
    - Effectiveness of healthcare delivery - standard measure of cancer system performance

Population-based Cancer Survival

Why are there variations in cancer survival?*

- Timely diagnosis and good prognosis ...
  - Stage of cancer at diagnosis
  - Screening (availability, access and participation)
  - Diagnostic access
  - Public's awareness of cancer symptoms
  - Types of cancer/disease diagnosed (aggressive variants)
- Appropriate treatment ...
  - Equitable access to treatment
  - Implementation of best practices (use of practice guidelines)
  - Organization of treatment services (timeliness, smooth transition)
- Access to healthcare (insurance) and human and financial resources


Crude survival: ... how many individuals diagnosed with cancer are alive after five years? ...
- endpoint: death from any cause

Cause-specific survival: ... how many individuals diagnosed with cancer after xx years? ...
- endpoint: death from cancer only

Relative survival: ... compares the survival experience of individuals with cancer to individuals without cancer (of the same age, race, gender, etc.) *
- endpoint: death from any cause * Life tables

Both Cause Specific and Relative are a way of comparing survival of people who have cancer with those who don’t— they show how much cancer shortens life
Advantages and Disadvantages of Relative vs. Cause Specific Survival

<table>
<thead>
<tr>
<th>Advantage</th>
<th>Disadvantages</th>
</tr>
</thead>
<tbody>
<tr>
<td>Relative</td>
<td>Rely on fact of death not cause of death</td>
</tr>
<tr>
<td></td>
<td>Enables estimation of avoidable deaths (excess mortality)</td>
</tr>
<tr>
<td>Cause Specific</td>
<td>Not limited to populations with life tables</td>
</tr>
<tr>
<td></td>
<td>Death Certificates are not reliable (e.g., site of mets or recur)</td>
</tr>
</tbody>
</table>

Population-based Survival - Focus on Relative Survival (Example)

Suppose that in a jurisdiction far, far away...

Five-year survival is 60% for women aged 15-99 diagnosed with breast cancer

but

Five-year survival is only 80% for women in general

then

Relative survival is 60% / 80% or 75%.

Additional advantages of Relative Survival

- Answers the question “how much is [my/my patient’s] survival decreased as a result of a cancer diagnosis?”
  - Speaks directly to excess mortality among cancer patients
- Adjusts for increasing “background” mortality in a population
  - Accounts for the fact that our risk of death increases as we age, whether we have cancer or not
- Adjusts for differences in “background” mortality between populations
  - Allows assessment of differences in cancer survival between populations that might have large variations in mortality generally


Relative survival: cohort and period approaches

- The basic cohort method\(^1\)\(^-\)\(^3\)
  - Uses everyone diagnosed with cancer in the past, who has had sufficient follow up time
  - Traditional approach to survival statistics; reflect the survival expectations of patients diagnosed many years ago (i.e., everyone in the cohort must have had five years of follow up)


Relative survival: cohort and period approaches

- The Period approach\(^1\)
  - Provides more 'up-to-date' estimates of long-term survival rates, incorporates the survival experience of recently diagnosed cases into the analysis.
  - e.g., 5-year survival for people diagnosed 2003-2007, with follow-up to the end of 2007
    - 1-year estimate will include the 1-year survival experience of people diagnosed in 2003-2007
    - 2-year estimate will include the survival experience for people diagnosed in 2003-2006
    - 3-year estimate will include 2003-2005 follow-up.
    - And so on.


Relative survival estimates: still evolving

- One primary or multiple primaries
- SEER vs. IARC rules for multiple primaries
A Tale of Two Studies, Two Countries and Action Plans

EUROCARE Studies
- U.K. - NHS Cancer Plan
- International Benchmarking Study

CONCORD Study
- High Resolution (Patterns of-care) studies
- The Canadian Strategy for Cancer Control: a cancer plan for Canada
- Canadian Partnership Against Cancer (CPAC)
  - C-SPAN

EUROpean CAncer REgistry-based study on survival and care of cancer patients

- Initiated in Italy (1989)
  - Istituto Nazionale Tumori (Milan)/Istituto Superiore di Sanità (Rome)
  - 12 population-based (European) cancer registries

- Versions...

- Now includes 93 population-based registries in 23 European countries

- Objective of EUROCARE-5: To update the existing EUROCARE data bank by including data of patients diagnosed up to 2007. Follow up will be updated to the most recent possible dates in order to analyze both long and short term survival rates of cases diagnosed more recently.
EUROCARE: Findings

- Survival for most solid tumours (breast, colorectal, stomach, cutaneous melanoma) was:
  - highest in Finland, Sweden, Norway and Iceland
  - lower in the UK and Denmark
  - lowest in the Czech Republic, Poland and Slovenia
- Countries with higher expenditure on health generally had best survival (exceptions: Denmark and UK)
- Survival for Europe lower than for the US for nearly all cancers


"If the survival rates among the poorest matched those among the richest in England and Wales, 12,700 untimely deaths could have been prevented amongst those diagnosed between 1986 and 1990."

US Paradox – High Survival Rates Worldwide….

5-year relative survival (%)
prostate cancer,
(15-99 years)

US Paradox – High Survival Rates Worldwide….

5-year relative survival (%)
female breast cancer,
(15-99 years)
5-year relative survival (%)
- female breast cancer,
(15-99 years) in US by race

But Large and Consistent Racial Disparities ....
Interesting cancer survival websites (check it out)

- EUROCARE:  [www.eurocare.it](http://www.eurocare.it)
- Paul Dickman ([www.pauldickman.com](http://www.pauldickman.com)) (Sweden)
- International Agency for Research on Cancer (IARC)  
- UK Cancer Survival Group:  
  [www.lshtm.ac.uk/ncdeu/cancersurvival/](http://www.lshtm.ac.uk/ncdeu/cancersurvival/)
- SEER:  [www.seer.gov/cancer](http://www.seer.gov/cancer)
- Canadian Partnership Against Cancer:  
  [www.partnershipagainstcancer.ca](http://www.partnershipagainstcancer.ca)
- Portal: CancerViewCanada:  [www.cancerview.ca](http://www.cancerview.ca)

Death Clearance

Key Component to Developing Survival Statistics

Objectives

- Describe Death Clearance
- Function of Death Clearance
- Importance to Survival Analysis
- References
Death Clearance Process

- Identify Death to Cancer Patients
  - Link to Mortality Files
  - Update Vital Status
  - Identify Missed Cases
    - Unreported Patient
    - Unreported Multiple Primary
- Follow Back Unlinked Cancers
  - Confirmation of Condition
  - Residence at Diagnosis
  - Case Details

Death Clearance in Canada

- Local (provincial) death clearance
- National Statistics Canada

Example: Saskatchewan Death Clearance
Why Death Clearance

- Originally developed by NCI-SEER
  - Avoid unnecessary patient follow up
  - Establish vital status
  - Identify unreported cancer cases

- Critical to Survival Statistics
  - Key for Active and for Passive Follow Up
  - Reduce follow up cost for active follow up
  - Substitute for active follow up if passive
    - Must combine with NDI, SSDI or other
  - Identifies biased group of unreported case
  - Without death clearance will overstate survival

What about Cancer Types for Death Certificate First Cases?

<table>
<thead>
<tr>
<th>Site</th>
<th>Cases</th>
<th>Death 1st</th>
<th>% Death 1st</th>
</tr>
</thead>
<tbody>
<tr>
<td>Female Breast</td>
<td>86,206</td>
<td>2,328</td>
<td>2.7</td>
</tr>
<tr>
<td>Prostate</td>
<td>82,084</td>
<td>2,184</td>
<td>2.7</td>
</tr>
<tr>
<td>Colorectal</td>
<td>56,566</td>
<td>4,446</td>
<td>7.9</td>
</tr>
<tr>
<td>Lung</td>
<td>74,045</td>
<td>15,818</td>
<td>21.9</td>
</tr>
<tr>
<td>Pancreas</td>
<td>11,278</td>
<td>3,708</td>
<td>32.9</td>
</tr>
<tr>
<td>Esophagus</td>
<td>5,469</td>
<td>943</td>
<td>17.2</td>
</tr>
</tbody>
</table>

Michigan Resident Cases Diagnosed between 1994-2003
Death certificate first is a case first identified through death clearance.

What about Stage at Diagnosis?

<table>
<thead>
<tr>
<th>Site</th>
<th>Late Stage</th>
<th>All Cases</th>
<th>% Death 1st</th>
</tr>
</thead>
<tbody>
<tr>
<td>Female Breast</td>
<td>22.1</td>
<td>31.6</td>
<td></td>
</tr>
<tr>
<td>Prostate</td>
<td>11.7</td>
<td>23.1</td>
<td></td>
</tr>
<tr>
<td>Colorectal</td>
<td>47.1</td>
<td>59.5</td>
<td></td>
</tr>
<tr>
<td>Lung</td>
<td>63.0</td>
<td>81.5</td>
<td></td>
</tr>
<tr>
<td>Pancreas</td>
<td>65.2</td>
<td>56.2</td>
<td></td>
</tr>
<tr>
<td>Esophagus</td>
<td>47.2</td>
<td>50.5</td>
<td></td>
</tr>
</tbody>
</table>

Michigan resident cases diagnosed between 1994 and 2003
with regional or distant stage at diagnosis.
**What about ………demographics?**

Percent Death Certificate 1st by age and Race

<table>
<thead>
<tr>
<th>Site</th>
<th>Percent by Age</th>
<th>Percent by Race</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>65 and Younger</td>
<td>Over 65*</td>
</tr>
<tr>
<td>Female Breast</td>
<td>1.1</td>
<td>4.7</td>
</tr>
<tr>
<td>Prostate</td>
<td>1.0</td>
<td>7.7</td>
</tr>
<tr>
<td>Colorectal</td>
<td>4.7</td>
<td>9.5</td>
</tr>
<tr>
<td>Lung</td>
<td>17.1</td>
<td>58.9</td>
</tr>
<tr>
<td>Pancreas</td>
<td>25.8</td>
<td>36.5</td>
</tr>
<tr>
<td>Esophagus</td>
<td>14.7</td>
<td>19.1</td>
</tr>
</tbody>
</table>

* Over 75 for prostate cancers

Michigan resident cases diagnosed between 1994 and 2003

**Death Clearance is Required**

- Required by NPCR
- Required by NCI/SEER
- NAACCR Standard Requirement
- Necessary for NAACCR Certification
  - Completed within 23 months

**Death Clearance is Required**

- NAACCR Standard I.B.9
  - Must
    - Be able to perform mortality linkage
    - Have adequate staff for follow back
  - Should
    - Establish formal agreement with vital records
    - Track progress and results
    - Follow back on potential multiples
    - Provide quality control feedback
      - Identify case-finding issues
What have you done ......

...... when you are done?

• Confirmed Vital Status
  – Nearly all deceased patients
  – 97% of Deaths to Cases in Michigan
  – Provide vital status updates to reporters

• Acquired Critical Missed Cases
  – Poor Prognosis
  – Tend to be Older
  – Geographically Biased

• Assured Most Accurate Surviv
  – Prompt improved reporting of clinical diagnoses

References

• NAACCR Death Clearance Manual

• NAACCR Standards Vol. 3 – pp 20-21

• SEER Data Management System – Chapter 17

Best Practices for Developing and Working with Survival Data:

NDI Linkages: What they are and why they matter.

Monique Hernandez, PhD
Chris Johnson, MPH
Brad Wohler, MS
Outline

• Brief overview of NDI linkages.
  – For more detailed information, see http://www.naaccr.org/AboutNAACCR/TownMeetings.aspx
  – http://www.cdc.gov/nchs/ndi.htm

• Examples of impact of NDI linkages on population-based survival measures.
  – CONCORD
  – Florida Cancer Data System NDI Linkage and Survival Project
  – Accuracy of Cancer Mortality Study
    • California, Colorado, Idaho

Thanks!

Lyn Almon, Georgia Comprehensive Cancer Registry
Chris Johnson, Cancer Data Registry of Idaho
Robert Bilgrad, National Death Index
Glenn Copeland, Michigan Cancer Surveillance Program
Monique Hernandez, Florida Cancer Data System
Colleen McLaughlin, New York State Cancer Registry
Hannah Weir, Centers for Disease Control and Prevention
Brad Wohler, Florida Cancer Data System

National Death Index

• The National Death Index (NDI) is a centralized registry maintained by the National Center for Health Statistics of all deaths that have occurred in the United States, Puerto Rico, and the Virgin Islands since 1979.
National Death Index - Purpose

- Identifies deceased study subjects
- Provides the following:
  - dates of death
  - states of death
  - death certificate numbers

National Death Index - Coverage

- All 50 states, District of Columbia, NYC, Puerto Rico, & Virgin Islands
- 65 million NDI records
- All deaths from 1979-2008
- 2009 deaths expected July 2011

NDI PLUS

- Implemented in 1997
- Provides researchers with
  - Underlying cause of death codes
  - Multiple cause codes
  - ICD-9 and ICD-10 codes
Death Clearance Safety Net

- Late cases
  - Cancer registry
  - Vital Statistics
- Missed cases
  - Out of state

National Death Index - Process

- Select candidate records for submission to NDI – unknown vital status
- Run EDITS, Inter-Record Edits
- Cut file using NPCR Extract utility
- Complete forms and submit them with data
- <NDI processes file>
- Receive results from NDI
- Process results using SAS algorithm available from NPCR docserver
- Manual review component
- Update central registry database with NDI results
- Data sharing with other states

Evidence

- Indirect
- CONCORD
- Florida
- ACM
Indirect Evidence

From 2005-2007, 12 states had at least 5% of their population migrate in from another state or abroad.

- Americans very mobile
- May change residence state after dx
- Don’t always die in their state of residence.
- Death missed during death ascertainment
  - \( \downarrow \) event count for survival calculations (numerator)
  - \( \uparrow \) increase in follow-up time (denominator).
CONCORD

- Cancer survival in five continents: a world-wide population-based study
  - British Columbia, Manitoba, Nova Scotia, Ontario, Saskatchewan
  - California, Colorado, Connecticut, Florida, Georgia - Atlanta
    SEER, Hawaii, Idaho, Iowa, Louisiana, Michigan, Nebraska, New Jersey, New Mexico, New York, Rhode Island, Utah, Washington
    - Seattle SEER, Wyoming

- In the U.S., NDI linkages were required.
  - NDI Plus not conducted – no cause of death information, so data not useful for cause-specific survival.

CONCORD NDI Results (Partial)

<table>
<thead>
<tr>
<th>Results of CONCORD NDI Match Resolution Algorithm</th>
<th>Michigan</th>
<th>Idaho</th>
<th>NVS</th>
<th>Nebraska</th>
<th>CA</th>
<th>Colorado</th>
<th>Florida</th>
</tr>
</thead>
<tbody>
<tr>
<td>Unknown status</td>
<td>34,472</td>
<td>6,296</td>
<td>51,697</td>
<td>9,027</td>
<td>42,977</td>
<td>16,098</td>
<td>205,973</td>
</tr>
<tr>
<td>Matches returned</td>
<td>36,042</td>
<td>9,174</td>
<td>209,613</td>
<td>11,446</td>
<td>88,396</td>
<td>29,401</td>
<td>282,106</td>
</tr>
<tr>
<td>True matches</td>
<td>1,131</td>
<td>444</td>
<td>5,907</td>
<td>1066</td>
<td>3,934</td>
<td>193</td>
<td>7,090</td>
</tr>
<tr>
<td>True match %</td>
<td>3.6%</td>
<td>7.3%</td>
<td>6.7%</td>
<td>3.8%</td>
<td>7.9%</td>
<td>1.2%</td>
<td>7.3%</td>
</tr>
</tbody>
</table>

CONCORD

- States submitted some cases above and beyond those sites required for the CONCORD study.

- In both Idaho and Florida, about 25% of the total NDI matches were among in-state deaths.
  - In Florida, 12% of total NDI matches were NY deaths.
  - In Idaho, 28% of total NDI matches were WA deaths.
 Evidence From CONCORD

<table>
<thead>
<tr>
<th>Status</th>
<th>Prostate + NDI</th>
<th>Breast (Female) + NDI</th>
<th>Colorectal (Male) + NDI</th>
<th>Colorectal (Female) + NDI</th>
<th>CONCORD Total + NDI</th>
</tr>
</thead>
<tbody>
<tr>
<td>Arizona</td>
<td>1.0%</td>
<td>1.1%</td>
<td>1.7%</td>
<td>0.6%</td>
<td>1.0%</td>
</tr>
<tr>
<td>California</td>
<td>0.7%</td>
<td>0.4%</td>
<td>1.2%</td>
<td>0.8%</td>
<td>0.7%</td>
</tr>
<tr>
<td>Florida</td>
<td>0.0%</td>
<td>0.0%</td>
<td>0.0%</td>
<td>0.0%</td>
<td>0.0%</td>
</tr>
<tr>
<td>Michigan</td>
<td>0.1%</td>
<td>0.0%</td>
<td>0.0%</td>
<td>0.0%</td>
<td>0.0%</td>
</tr>
<tr>
<td>New York</td>
<td>7.1%</td>
<td>1.7%</td>
<td>2.0%</td>
<td>2.0%</td>
<td>2.1%</td>
</tr>
<tr>
<td>New York State</td>
<td>7.4%</td>
<td>2.1%</td>
<td>2.2%</td>
<td>2.6%</td>
<td>2.6%</td>
</tr>
<tr>
<td>FCDS CONCORD Avg</td>
<td>1.5%</td>
<td>0.8%</td>
<td>1.6%</td>
<td>1.5%</td>
<td>1.2%</td>
</tr>
</tbody>
</table>

Florida Cancer Data System
NDI Linkage and Survival Analysis Project

- 1981 - 2005
- 1,115,558 records submitted to NDI

FCDS NDI Linkage and Survival Analysis Project: Review NDI Results

- Bottom Line
  - Vital status changed to deceased = 125,648 patients
    - Affecting 147,211 tumors
  - Death clearance safety net = 9,854
  - Remaining 115,794 died out of state
    - FL VS does not re-release info on FL residents who died out of state
FCDS NDI Linkage and Survival Analysis Project:
Kaplan-Meier Survival Curve

- Median survival time: The time at which exactly half of the population has survived.

![Kaplan-Meier survival estimates, by group](image)

19 years

11 years

Pre
Post

FCDS NDI Linkage and Survival Analysis Project

- 1981-2005 there were 2,020,387 people DX with >= 1 tumor in FL
- PRE NDI: 1,076,018 (53.5%) dead at end of 2005
- POST NDI: 1,201,666 (59.5%) dead at end of 2005

![Number of Deaths by Year](image)

FCDS NDI Linkage and Survival Analysis Project:
Percent Patient Survival Pre and Post at Time T in Years

<table>
<thead>
<tr>
<th>Survival Estimates</th>
<th>5 years</th>
<th>ALL pre</th>
<th>Lung pre</th>
<th>CRC pre</th>
<th>Prostate pre</th>
<th>Breast pre</th>
<th>ALL post</th>
<th>Lung post</th>
<th>CRC post</th>
<th>Prostate post</th>
<th>Breast post</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>5</td>
<td>64</td>
<td>28</td>
<td>21</td>
<td>70</td>
<td>66</td>
<td>90</td>
<td>89</td>
<td>88</td>
<td>86</td>
<td></td>
</tr>
<tr>
<td></td>
<td>10</td>
<td>57</td>
<td>52</td>
<td>24</td>
<td>16</td>
<td>60</td>
<td>83</td>
<td>79</td>
<td>81</td>
<td>78</td>
<td></td>
</tr>
<tr>
<td></td>
<td>15</td>
<td>52</td>
<td>46</td>
<td>23</td>
<td>14</td>
<td>64</td>
<td>77</td>
<td>70</td>
<td>77</td>
<td>72</td>
<td></td>
</tr>
<tr>
<td></td>
<td>20</td>
<td>49</td>
<td>41</td>
<td>22</td>
<td>13</td>
<td>63</td>
<td>57</td>
<td>73</td>
<td>62</td>
<td>74</td>
<td></td>
</tr>
</tbody>
</table>

Site with most impact: Lung and bronchus cancer
Site with least impact: Breast cancer

Greater than 5% difference
**Accuracy of Cancer Mortality Study**

- What it is?
- California, Colorado, Idaho
- The Impact of National Death Index Linkages on Population-Based Cancer Survival Rates.
  - A separate data collection effort merged cancer registry data to the National Death Index (NDI) to find deaths that occurred out of state and to obtain cause-of-death information for these deaths.
  - State vital statistics linked deaths were thus augmented with linkages to the National Death Index (NDI).

---

**Accuracy of Cancer Mortality Study**

- The Impact of National Death Index Linkages on Population-Based Cancer Survival Rates
- We investigated the impact on 5-year cancer survival rates of performing the NDI linkage component of the ACM study.
  - 1993-1995 cases with linkages to state vital statistics and NDI through 2004
  - Measured the impact of NDI linkages on cause-specific and relative cancer survival statistics.

---

**Accuracy of Cancer Mortality Study**

- Impact of NDI Linkages on Survival Statistics
- Two datasets created:
  - One dataset included deaths ascertained through state vital records linkages augmented with deaths ascertained through NDI linkages.
  - The second dataset included only deaths ascertained through state vital records linkages;
    - all NDI deaths were censored at the end of the study period (vital status alive as of Dec 31, 2004), as if the NDI linkages had not been performed.
Conclusions

- Annual linkage of central cancer registry data with NDI data is highly recommended.
- Death clearance safety net
- Access to info on state residents who die out of state
- Access to info on cases who move out of state after dx
- Fee support via CDC or NCI
- The benefits of NDI linkage include improved follow-up for more accurate survival statistics

Thanks!

Lyn Almon, Georgia Comprehensive Cancer Registry
Chris Johnson, Cancer Data Registry of Idaho
Robert Bilgrad, National Death Index
Glenn Copeland, Michigan Cancer Surveillance Program
Monique Hernandez, Florida Cancer Data System
Colleen McLaughlin, New York State Cancer Registry
Hannah Weir, Centers for Disease Control and Prevention
Brad Wohler, Florida Cancer Data System

Best Practices for Developing and Working with Survival Data:

Data Quality for Survival Analysis

Contributors/Presenters: Katherine Fradette, Deborah Hurley, Hannah Weir, Donna Turner
Data Quality for Survival: Two Main Considerations

1. The quality of information about the cases
   - Missing, incomplete or poor quality reporting of cases can lead to a biased picture of survival

2. The quality of the death data
   - Missing, incomplete or poor quality reporting of death information can also lead to a biased picture of survival (usually over-estimation)

Input Quality Affects Output Quality

For each regional registry included in analysis, the quality and comprehensiveness of information about cases and deaths is of primary importance

- Type of follow-back
- Routine data quality checks and clean-up
- Coding Rules
- Death related information

Type of Follow-Back: Sources

Active follow-back (medical records)
- Cancer Registry Initiated
  - Contact physician or reporting hospital
  - National, State or Province data exchange agreements
- Hospital/Physician Office Initiated
  - Data sharing agreement with CCR or VR

Passive follow-back (data linkages)
- Regional Vital Records
- National Death Index (US only)
- Social Security Death Index (US only)
- Canadian National Death Clearance (Canada only)
Data Quality Checks and Clean-Up

- Data linkage quality control
  - Manual review
  - NDI SAS utility program
  - Other data linkages
    - Voter registration
    - Health insurance data
    - Hospital discharge data
    - Government offices (motor vehicle, public safety, taxes, etc.)
- Edits
  - NAACR/SEER/NPCR edit set
  - Survival-specific edit set

Coding Rules

- ICD coded diagnoses and COD are preferable
- Different jurisdictions sometime use slightly different rules for coding multiple primary cancers
- Prior to analysis and quality assessment, registry data can be transformed to a common rule structure for consistency (e.g., the International Agency for Research in Cancer (IARC) rules)

Death Related Information

- Updated vital status
- Date of death (or date last seen)
  - Complete dates are preferable (MDY)
- Accurate and complete COD information
  - Non-missing COD preferable
  - ICD coded COD preferable
  - Primary & underlying COD information preferable
Incomplete Date Information

• If complete dates are not available, imputation solutions can be used to produce an estimated survival time

• Example: C-SPAN mean imputation method
  – Used in the case of missing month or day of death (or diagnosis)
  – A SAS algorithm written by Larry Ellison at Statistics Canada returns an imputed a mean survival time

Incomplete Dates: Mean Survival Imputation

• An exact interval SAS macro with the imputation algorithm is available at: http://www.cancerview.ca/idc/groups/public/documents/webcontent/cspan_intervalmacro.sas

• The imputed value is a function of all potential values and the likelihood of their occurrence

• If either the diagnosis year or the death year is unknown then the survival is undefined

• If the month is missing from a date value then the day is also assumed to be missing

Incomplete Dates: Mean Survival Imputation

• Example 1: If only the day of death is missing:
  – If diagnosis and death month and year are the same
    • Imputed survival time is equal to half of the time between the date of diagnosis and the last day of the month of death
  – If diagnosis and death month and/or year are different
    • Imputed survival time is equal to the middle of the month of death (the 15th or 16th, depending on the month) minus the date of diagnosis
Incomplete Dates: Mean Survival Imputation

- Example 2: If the month and day of death are missing:
  - If diagnosis and death year are the same
    - Imputed survival time is equal to half of the time between the date of diagnosis and the last day of the year of death (December 31st)
  - If diagnosis and death year are different
    - Imputed survival time is equal to the middle of the year of death (July 2nd) minus the date of diagnosis

Final Data Quality for Survival Analysis

- Final data quality must be specially appraised before survival is calculated using protocols designed to highlight potential areas of error or bias
- To provide a picture of data quality in the survival context, make an inventory of ineligible, eligible and excluded records

Ineligible Records

- Following international protocols, criteria for ineligibility may include:
  - Basal and squamous cell skin cancers
  - Adolescent bone cancers
  - In situ cancers (with the exception of in situ bladder)
  - Tumours of benign or uncertain behaviour
Excluded Records
Following international protocols, criteria for exclusion may include:

• Age (<15 and >99 years at diagnosis)
• Unknown vital status
• Unknown sex
• Sex-site incompatibility
• Unknown year of birth, diagnosis or death
• Invalid sequences of dates
• Records where the diagnosis method was autopsy and the survival time was zero
• Records where the diagnosis method was death certificate only (DCO)
• In the case of first primary tumour analyses, second or subsequent tumours

Quality Assessment of Included Records

• To ensure completeness of the included records, a data quality assessment might involve enumerating:
  – Microscopically confirmed records
  – Records with missing month or day of birth, diagnosis, or death
  – Records where the diagnosis method is autopsy but survival time is greater than zero
  – Records where survival time is zero but diagnosis method is not DCO or autopsy (considered a “true zero survival time”)

Reporting Quality Information

• To provide a comprehensive picture of data quality for survival analysis, the following might be provided by jurisdiction, site, diagnosis period and sex, where applicable:
  – Percentage of ineligible and excluded records
  – A description of completeness of the records retained in survival analyses after exclusions
  – Percentage of all primary records included in survival analyses
  – Percentage of patients where the attained age of the patient was ≥ 100 at the end of the study period
The Cancer Survival and Prevalence Analytic Network (C-SPAN) Experience

• Primary data source: The Canadian Cancer Registry (CCR), housed at Statistics Canada
  – A collaboration among Canadian provincial and territorial cancer registries and Statistics Canada
  – Regular data quality edits, de-duplication and death clearance at a national level augment local level efforts

• Funding provided by: The Canadian Partnership Against Cancer

Data Quality Results

• Overall, potential quality threats were minimal as measured by the quality protocol for survival analysis just presented

• C-SPAN's rates of DCOs, missing demographic or date information, and microscopic confirmation are remarkably similar to those arising from international studies that have set high quality data standards

Inter-Provincial Differences

• Quality considerations highlighted that higher-level system (inter-provincial) differences must also be considered

• Consistent with previous analyses, Quebec's data were excluded from analyses due to differences in cancer registration practices and issues in determining vital status for Quebec cases in the CCR

• Until recently, the Newfoundland and Labrador (NL) Cancer Registry did not receive information on all death certificates that mentioned cancer
  – Since the situation was recently resolved, NL data were included in analyses and interpreted with caution (consistent with national protocol)
Data Quality Results

- There were 1,600,722 cancer records registered between 1992 and 2006, representing 1,565,425 cancer patients
- 6.1% of the registered records were ineligible, mostly in situ neoplasms, reflecting variations in registry practices - some provinces do not register non-invasive tumours
- Only 2.6% of all eligible patients were excluded and inclusion rates by site were high:
  
<table>
<thead>
<tr>
<th></th>
<th>Lung</th>
<th>Colorectal</th>
<th>Breast</th>
<th>Prostate</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>96.6%</td>
<td>98.2%</td>
<td>99.3%</td>
<td>99.1%</td>
</tr>
</tbody>
</table>

Data Quality Results

- 88.7% of included records were microscopically confirmed. Rates varied by site:
  
<table>
<thead>
<tr>
<th></th>
<th>Lung</th>
<th>Colorectal</th>
<th>Breast</th>
<th>Prostate</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>96.6%</td>
<td>98.2%</td>
<td>99.3%</td>
<td>99.1%</td>
</tr>
</tbody>
</table>

- Most other indicators of potential quality issues showed low rates of occurrence, particularly for missing or questionable death date-related information

A Need to Protect Confidentiality

- To reduce disclosure risk and maintain confidentiality:
  - Percentages were categorized in most cases
  - Any cell count less than 6 and greater than 0 was suppressed
  - One area of particular disclosure risk involved the Territories
    - Required heavy suppression due to small numbers
    - These regional data are only presented in select cases
**Best Practices for Developing and Working with Survival Data:**

*Using SEER*Prep and SEER*Stat to calculate survival statistics.*

Chris Johnson, MPH  
Epidemiologist  
Cancer Data Registry of Idaho

---

**Outline**

- The presentation will follow from a NAACCR V12 layout through using SEER*Prep to create a SEER*Stat database, then the calculation of survival statistics in SEER*Stat.
- Brief overview of what needs to be done to prepare data for use in SEER*Prep and SEER*Stat.
- Examples of calculations of more commonly used survival statistics, i.e., observed, relative.

---

**What is SEER*Stat?**

- SEER*Stat is a statistical package created for the analysis of SEER and other cancer databases.
- It was developed by Information Management Services, Inc. in consultation with the SEER Program of the National Cancer Institute (NCI).
- The SEER*Stat statistical software provides a convenient, intuitive mechanism for the analysis of SEER and other cancer-related databases.
- It is a powerful PC tool to view individual cancer records and to produce statistics for studying the impact of cancer on a population.
What is SEER*Prep?

- SEER*Prep software converts ASCII text data files to the SEER*Stat database format, allowing you to analyze your cancer data using SEER*Stat.
- SEER*Prep performs two main functions:
  - It converts text data to the specific binary format required by SEER*Stat,
  - and it creates the SEER*Stat data dictionary.

How to obtain SEER*Prep software


How to obtain SEER*Stat software

How to access the SEER Research Data.


Create a NAACCR V12 Incidence file

1. Query your database for state/provincial residents diagnosed over the range of years you have completed death clearance/follow-up activities/(NDI linkages for U.S.).
   - e.g. NAACCR Item Min Max
     | Item | Min    | Max    |
     |------|--------|--------|
     | 390  | bbbb1970 | 99992008 |
     | 80   | ID     | ID     |
     | others? |        |        |
2. Sort the query result by NAACCR Item 20 (Patient ID Number) and NAACCR Item 380 (Sequence Number—Central).
3. Export a NAACCR V12 Incidence (3339 column width) file for the queried cases with a .txd file extension.

Use SEER*Prep to create a SEER*Stat dataset
Population-based Cancer Survival Statistics Overview

- Cancer survival is the proportion of patients alive at some point subsequent to the diagnosis of their cancer, or from some point post-diagnosis (conditional survival).
- It is represented as the probability of a group of patients "surviving" a specified amount of time (e.g. 3 years, 5 years, 20 years).

(Source: NCI [http://surveillance.cancer.gov/survival/])
Types of survival statistics available in SEER*Stat

- **Observed Survival**
  - Estimate of the probability of surviving all causes of death.
- **Net Survival**
  - (policy-based statistic) - The probability of surviving cancer in the absence of other causes of death. It is a measure that is not influenced by changes in mortality from other causes and, therefore, provides a useful measure for tracking survival across time, and comparisons between racial/ethnic groups or between registries.
- **Conditional Survival**
  - Given survival to some number of years, what is the probability of surviving some additional number of years.
- **Crude Probability of Death**
  - (patient prognosis measure) - The probability of dying of cancer in the presence of other causes of death.
- **Survival Case Listing**

Approaches to estimation of cancer-specific survival

- There are two ways to estimate Net Cancer-Specific Survival:
  - using cause of death information
  - or using expected survival tables.

Net cancer-specific survival

- **Cause-specific survival**
  - Estimates are calculated by specifying the cause of death. Individuals who die of causes other than those specified are considered to be censored.
- **Relative survival**
  - Uses population life tables to estimate expected survival. Relative survival is defined as the ratio of the proportion of observed survivors (all causes of death) in a cohort of cancer patients to the proportion of expected survivors in a comparable cohort of cancer-free individuals.
  - Assumes independent competing causes of death. Since a cohort of cancer-free individuals is difficult to obtain, we use expected life tables and assume that the cancer deaths are a negligible proportion of all deaths.
Overview of SEER*Stat

- SEER*Stat allows you a great deal of freedom to request the cancer statistics/values/methods you want for your analysis.

Part 1: Session

Part 2: Execute

Part 3: Matrix

Overview of SEER*Stat

- Part 1: Session
  - The analysis is set up in the session window. Each session consists of tabs on which you select the database subset, statistics, and appearance of your output matrix.
  - You should work through each tab in order from left to right and from top to bottom to ensure that all options have been considered.
    - However, changes can be made in any order.
    - It is possible to work on multiple sessions simultaneously.

Overview of SEER*Stat

- Part 2: Execute
  - Once the session is set up, you are ready to execute it as a job.
  - While the job is executing, you can change the session or begin a new one without affecting the original job.
  - It is possible to execute more than one job at a time.
Overview of SEER*Stat

- Part 3: Matrix

- When the job has finished executing, the output matrix you requested is displayed.

- You can change the appearance of the output matrix, print it, copy it to the Windows clipboard, and/or export the statistics/values so they may be used in another application.

Dataset used for SEER*Stat examples

SEER*Stat Survival Session – Table tab
Example 1: Observed and Relative Survival using the actuarial (life table) method

<table>
<thead>
<tr>
<th>N</th>
<th>Observed</th>
<th>Expected</th>
<th>Relative</th>
<th>SE Obs</th>
<th>SE Rel</th>
</tr>
</thead>
<tbody>
<tr>
<td>12mo</td>
<td>1,678</td>
<td>93.6%</td>
<td>93.6%</td>
<td>0.0%</td>
<td>0.7%</td>
</tr>
<tr>
<td>24mo</td>
<td>1,679</td>
<td>93.7%</td>
<td>93.7%</td>
<td>0.0%</td>
<td>0.8%</td>
</tr>
<tr>
<td>36mo</td>
<td>1,678</td>
<td>93.8%</td>
<td>93.8%</td>
<td>0.1%</td>
<td>0.8%</td>
</tr>
<tr>
<td>48mo</td>
<td>1,678</td>
<td>93.9%</td>
<td>93.9%</td>
<td>0.1%</td>
<td>0.8%</td>
</tr>
<tr>
<td>60mo</td>
<td>1,678</td>
<td>94.0%</td>
<td>94.0%</td>
<td>0.1%</td>
<td>0.8%</td>
</tr>
</tbody>
</table>

Example 2: Cause-specific survival using the actuarial (life table) method
Example 3: Cause-specific survival using the actuarial method, melanoma of the skin deaths

Survival Proportion Calculations

- Five-year survival is calculated as the product of the conditional probabilities for surviving each single year interval.

$$S_{5yr} = S_{1yr} \times S_{2yr|1yr} \times S_{3yr|2yr} \times S_{4yr|3yr} \times S_{5yr|4yr}$$

Cohort versus Period survival

- Survival estimates from cancer registry data are usually dated measures of current-year survival, because of the time needed to observe survival and lag between available data and the current year.
- There are different approaches of grouping survival experience with respect to year of diagnosis and follow-up to obtain more up-to-date estimates of patients recently diagnosed.
Example 4: Relative survival using the actuarial method; Period Survival

[Diagram of data analysis software interface]

Example 4: Relative survival using the actuarial method; Period Survival

[Data table showing survival rates and calculations]

Example 4: Relative survival using the actuarial method; Period Survival

[Another data table related to survival analysis]
Comparison of common population-based survival methods

Conclusions: SEER*Stat

- Advantages of SEER*Stat over other statistical tools:
  - Simple to use GUI
  - Facilitates comparisons with SEER data
  - Can paste results into other Windows programs
  - SEER/NCI is responsible for keeping it updated and standardized
  - Well supported by IMS

Summary
Using SEER*Prep and SEER*Stat to calculate survival statistics.

- The presentation followed a NAACCR V12 layout through SEER*Prep to create a SEER*Stat database, then demonstrated the calculation of survival statistics in SEER*Stat.
- Brief overview of what needs to be done to prepare data for use in SEER*Prep and SEER*Stat.
- Examples of calculations of more commonly used survival statistics, i.e., observed, relative.
Some issues related to survival

Hannah Weir, PhD
Trevor Thompson, BS
Division of Cancer Prevention and Control
Centers for Disease Prevention and Control

The findings and conclusions in this presentation are those of the presenters and do not necessarily represent the official position of the Centers for Disease Control and Prevention.

LIFE TABLES

General mortality varies by area
Life expectancy at birth - all races, 1990-1999

<table>
<thead>
<tr>
<th>Cancer Registry areas</th>
<th>e₀ Male</th>
<th>e₀ Female</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hawaii</td>
<td>76.11</td>
<td>82.19</td>
</tr>
<tr>
<td>Utah</td>
<td>75.78</td>
<td>80.85</td>
</tr>
<tr>
<td>Iowa</td>
<td>74.75</td>
<td>80.84</td>
</tr>
<tr>
<td>Connecticut</td>
<td>74.56</td>
<td>80.46</td>
</tr>
<tr>
<td>California</td>
<td>73.94</td>
<td>79.98</td>
</tr>
<tr>
<td>Wyoming</td>
<td>73.83</td>
<td>79.62</td>
</tr>
<tr>
<td>New Mexico</td>
<td>73.31</td>
<td>79.98</td>
</tr>
<tr>
<td>USA</td>
<td>72.76</td>
<td>79.09</td>
</tr>
</tbody>
</table>
General mortality varies by calendar year (principally in male population)

CONCORD Study - Relative Survival using two LTs

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Patient example</th>
<th>NCHS LT*</th>
<th>CONCORD LT</th>
</tr>
</thead>
<tbody>
<tr>
<td>SEX</td>
<td>Male</td>
<td>Male</td>
<td>Male</td>
</tr>
<tr>
<td>RACE</td>
<td>Black</td>
<td>Black</td>
<td>Black</td>
</tr>
<tr>
<td>YEAR</td>
<td>1996</td>
<td>1990</td>
<td>1996</td>
</tr>
<tr>
<td>AREA</td>
<td>Utah</td>
<td>US</td>
<td>Utah</td>
</tr>
</tbody>
</table>

* US Census 1990

Life expectancy at birth in 1990 – all races

<table>
<thead>
<tr>
<th>State</th>
<th>Male CONCORD</th>
<th>Male NCHS</th>
<th>Female CONCORD</th>
<th>Female NCHS</th>
</tr>
</thead>
<tbody>
<tr>
<td>California</td>
<td>72.7</td>
<td>72.5</td>
<td>79.4</td>
<td>79.2</td>
</tr>
<tr>
<td>Connecticut</td>
<td>74.0</td>
<td>73.6</td>
<td>80.2</td>
<td>80.0</td>
</tr>
<tr>
<td>Hawaii</td>
<td>75.6</td>
<td>75.4</td>
<td>81.7</td>
<td>81.3</td>
</tr>
<tr>
<td>Iowa</td>
<td>74.2</td>
<td>73.9</td>
<td>80.9</td>
<td>80.5</td>
</tr>
<tr>
<td>New Mexico</td>
<td>72.6</td>
<td>72.2</td>
<td>79.6</td>
<td>79.3</td>
</tr>
<tr>
<td>Utah</td>
<td>75.2</td>
<td>75.0</td>
<td>81.0</td>
<td>80.4</td>
</tr>
<tr>
<td>Wyoming</td>
<td>73.3</td>
<td>73.2</td>
<td>79.4</td>
<td>79.3</td>
</tr>
</tbody>
</table>
What do we expect in relative survival?

**WE KNOW THAT:**
- General mortality varies in the period (1990-1999) principally in male population
- General mortality varies by geographical area
- Hawaii is the area with major differences in comparison with the USA (also in female population)

**Using CONCORD life tables versus US Census (NCHS) life tables in relative survival estimates WE EXPECT THAT:**
- Major differences will be present in male cancer sites
- Hawaii cancer relative survival estimates will have major differences

---

### 5-yr crude relative survival
**Male colorectal cancer - all races**

<table>
<thead>
<tr>
<th>Areas</th>
<th># Cases</th>
<th>NCHS LT (1)</th>
<th>CONCORD LT (2)</th>
<th>Difference (2) – (1)</th>
</tr>
</thead>
<tbody>
<tr>
<td>California</td>
<td>30,379</td>
<td>63.0%</td>
<td>61.1%</td>
<td>-1.9%</td>
</tr>
<tr>
<td>Connecticut</td>
<td>4,559</td>
<td>63.6%</td>
<td>61.5%</td>
<td>-2.1%</td>
</tr>
<tr>
<td>Hawaii</td>
<td>1,493</td>
<td>69.6%</td>
<td>65.7%</td>
<td>-3.9%</td>
</tr>
<tr>
<td>Iowa</td>
<td>4,043</td>
<td>61.6%</td>
<td>60.1%</td>
<td>-1.5%</td>
</tr>
<tr>
<td>New Mexico</td>
<td>1,335</td>
<td>60.9%</td>
<td>59.0%</td>
<td>-1.9%</td>
</tr>
<tr>
<td>Utah</td>
<td>1,358</td>
<td>64.5%</td>
<td>61.4%</td>
<td>-3.1%</td>
</tr>
<tr>
<td>Wyoming</td>
<td>357</td>
<td>57.7%</td>
<td>56.7%</td>
<td>-1.0%</td>
</tr>
</tbody>
</table>

---

### 5-yr crude relative survival
**Female colorectal cancer - all races**

<table>
<thead>
<tr>
<th>Areas</th>
<th># Cases</th>
<th>NCHS LT (1)</th>
<th>CONCORD LT (2)</th>
<th>Difference (2) – (1)</th>
</tr>
</thead>
<tbody>
<tr>
<td>California</td>
<td>29,204</td>
<td>61.7%</td>
<td>61.0%</td>
<td>-0.7%</td>
</tr>
<tr>
<td>Connecticut</td>
<td>4,406</td>
<td>63.0%</td>
<td>61.6%</td>
<td>-1.4%</td>
</tr>
<tr>
<td>Hawaii</td>
<td>1,089</td>
<td>68.5%</td>
<td>66.2%</td>
<td>-2.3%</td>
</tr>
<tr>
<td>Iowa</td>
<td>4,519</td>
<td>66.1%</td>
<td>64.4%</td>
<td>-1.7%</td>
</tr>
<tr>
<td>New Mexico</td>
<td>1,214</td>
<td>61.9%</td>
<td>60.7%</td>
<td>-1.2%</td>
</tr>
<tr>
<td>Utah</td>
<td>1,096</td>
<td>60.5%</td>
<td>59.6%</td>
<td>-0.9%</td>
</tr>
<tr>
<td>Wyoming</td>
<td>391</td>
<td>59.1%</td>
<td>58.6%</td>
<td>-0.5%</td>
</tr>
</tbody>
</table>
### 5-yr crude relative survival

#### Female breast cancer - all races

<table>
<thead>
<tr>
<th>Areas</th>
<th># Cases</th>
<th>NCHS LT (1)</th>
<th>CONCORD LT (2)</th>
<th>Difference (2) – (1)</th>
</tr>
</thead>
<tbody>
<tr>
<td>California</td>
<td>82,868</td>
<td>86.3%</td>
<td>85.8%</td>
<td>-0.5%</td>
</tr>
<tr>
<td>Connecticut</td>
<td>11,288</td>
<td>86.2%</td>
<td>85.1%</td>
<td>-1.1%</td>
</tr>
<tr>
<td>Hawaii</td>
<td>2,854</td>
<td>91.2%</td>
<td>89.5%</td>
<td>-1.7%</td>
</tr>
<tr>
<td>Iowa</td>
<td>9,131</td>
<td>87.8%</td>
<td>86.3%</td>
<td>-1.5%</td>
</tr>
<tr>
<td>New Mexico</td>
<td>3,793</td>
<td>85.5%</td>
<td>84.6%</td>
<td>-0.9%</td>
</tr>
<tr>
<td>Utah</td>
<td>3,505</td>
<td>86.3%</td>
<td>85.3%</td>
<td>-1.0%</td>
</tr>
<tr>
<td>Wyoming</td>
<td>1,073</td>
<td>84.3%</td>
<td>83.9%</td>
<td>-0.4%</td>
</tr>
</tbody>
</table>

#### Male prostate cancer - all races

<table>
<thead>
<tr>
<th>Areas</th>
<th># Cases</th>
<th>NCHS LT (1)</th>
<th>CONCORD LT (2)</th>
<th>Difference (2) – (1)</th>
</tr>
</thead>
<tbody>
<tr>
<td>California</td>
<td>91,613</td>
<td>96.9%</td>
<td>93.5%</td>
<td>-3.4%</td>
</tr>
<tr>
<td>Connecticut</td>
<td>11,306</td>
<td>96.4%</td>
<td>92.8%</td>
<td>-3.6%</td>
</tr>
<tr>
<td>Hawaii</td>
<td>3,480</td>
<td>99.9%</td>
<td>94.1%</td>
<td>-5.8%</td>
</tr>
<tr>
<td>Iowa</td>
<td>10,742</td>
<td>95.2%</td>
<td>92.8%</td>
<td>-2.4%</td>
</tr>
<tr>
<td>New Mexico</td>
<td>5,389</td>
<td>96.6%</td>
<td>93.2%</td>
<td>-3.4%</td>
</tr>
<tr>
<td>Utah</td>
<td>5,777</td>
<td>99.2%</td>
<td>94.2%</td>
<td>-5.0%</td>
</tr>
<tr>
<td>Wyoming</td>
<td>1,551</td>
<td>95.9%</td>
<td>93.9%</td>
<td>-2.0%</td>
</tr>
</tbody>
</table>

#### Recent updates to SEER*Stat (V 7.0.4)

US LT available for individual years 1970-2006 by gender and race (All, W, B and O)
Cancer cause specific survival - an alternative to relative survival when life tables not available

LT matched to cancer patients according to risk factors (age, calendar period, geographic area and race/ethnicity)
- SES, smoking status, etc.

RS can underestimate or overestimate the actual survival experience when there is a mismatch between the LT and cancer patient cohort (e.g., tobacco related cancers)

Howlader et al, 2010 published broader definition of caused related death variable.

Age Standardized Survival Estimates

- Survival generally depends on age
- Age distribution among cancer patients may vary across comparison groups
- Standardization is needed to remove the confounding effect of age when comparing survival estimates
- Which standard population should be used?
Commonly Used Standards

- Internal site-specific age distribution of a study
  - Derived from observed age distribution of a specific cancer patient population
- International Cancer Survival Standards (ICSS) standard populations
  - Set of general standard cancer patient populations developed from the EUROCARE-2 study

ICSS Standard Populations

- Consists of three standard populations describing the main age patterns of cancer incidence
  1. Increasing with age (91.1% of EUROCARE-2 patients)
  2. Generally constant with age (7.4%)
    - Nasopharynx, soft tissues, melanoma, cervix uteri, brain, thyroid, bone
  3. Primarily affecting young adults (1.5%)
    - Testis, Hodgkin’s disease, acute lymphatic leukemia

Which Standard to Use?

- Site-specific
  - Has the desirable property that age-standardized survival estimates are generally close to the crude survival estimates
  - Does not allow comparisons across sites
  - Does not allow comparisons across studies if internal standards are used
Which Standard to Use?

- ICSS
  - Standardized survival estimates can differ from crude results
  - Allows for comparisons with other sites that use the same standard
  - Allows for comparisons with other studies using ICSS weights

Example – Comparison of Standards

Table 1. 5-Year Relative Survival Estimates by Cancer Site, SEER 1998-2002

<table>
<thead>
<tr>
<th>Site</th>
<th>N</th>
<th>Crude Relative Survival (95% CI)</th>
<th>Age-Adjusted* Site Specific (95% CI)</th>
<th>Age-Adjusted† ICCC (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Colorectal</td>
<td>118,451</td>
<td>64.9 (64.6-65.3)</td>
<td>64.5 (64.2-64.7)</td>
<td>64.9 (64.6-65.3)</td>
</tr>
<tr>
<td>Female Breast</td>
<td>172,662</td>
<td>89.3 (89.1-89.5)</td>
<td>89.4 (89.2-89.7)</td>
<td>89.7 (89.5-90.0)</td>
</tr>
<tr>
<td>Prostate</td>
<td>190,464</td>
<td>99.6 (99.4-99.8)</td>
<td>99.1 (98.8-99.4)</td>
<td>98.7 (98.3-98.9)</td>
</tr>
<tr>
<td>Thyroid</td>
<td>20,415</td>
<td>96.9 (96.5-97.3)</td>
<td>96.8 (96.4-96.2)</td>
<td>95.9 (95.3-96.4)</td>
</tr>
<tr>
<td>Melanoma</td>
<td>42,132</td>
<td>91.5 (91.1-91.9)</td>
<td>90.6 (90.2-91.1)</td>
<td>91.3 (90.9-91.7)</td>
</tr>
<tr>
<td>CB</td>
<td>7,851</td>
<td>95.5 (95.0-96.0)</td>
<td>95.2 (94.6-95.6)</td>
<td>94.4 (93.8-95.1)</td>
</tr>
<tr>
<td>Hodgkin Lymphoma</td>
<td>7,174</td>
<td>81.3 (80.1-82.5)</td>
<td>80.2 (79.2-81.3)</td>
<td>80.0 (79.1-81.1)</td>
</tr>
</tbody>
</table>

* Age-standardized to the site-specific age distribution of the 2004-2006 USCS.
† Age-standardized to the appropriate ICSS standard.

Software Considerations

- SEER*STAT currently does not perform age-standardization of relative survival estimates
  - This may be included in future versions
  - Age-specific relative survival estimates can be calculated in SEER*STAT and exported to other packages for standardization
- R and Stata code are available for calculating age-standardized survival estimates and confidence intervals
The “multiple primaries issue”

• One person can have many cancers.
  – Becoming more common scenario as survivorship from cancer increases.
• Multiple primary rules differ (IARC vs. SEER/Canadian)
• Survival statistics have traditionally focused on “first primary”; but this doesn’t include all the information available.
• EUROCARE now including ALL cancers diagnosed.

Reference


Conclusion
Overview and Use of Population-based Survival Data

- Population-based survival monitors the effectiveness of health care delivery - cancer control and health policy
- Adding survival data enhances the registry
- Canada and NCI/SEER routinely produce these data
- NPCR is now expanding capacity:
  - Currently 26 NPCR/SEER registries link to NDI (62% population coverage)
  - 83% coverage with additional 10 “interested” registries
  - 14 registries ???
- NAACCR Survival Workgroup is addressing issues related to the collection, analysis and interpretation of survival data
- 2011 NAACCR conference – plenary address by Prof Michel Coleman, PI CONCORD (-2) Study

Need for Death Clearance

- Routine DC helps with case ascertainment and provides information on vital status (~97% of deaths MI)

NDI and impact on survival data

- Supplements DC to provide info on ~99% deaths (L Alom)
  - Deaths out of state /residence out of state
  - Identifies duplicate cases (NY-FL dual residences issue, etc.)
- Most deaths found through DC but NDI still critical
- NPCR-NDI umbrella application
- NDI linkage at no additional cost to NPCR/SEER registries
- Tools available to help with NDI output
Data Quality Issues

- Complete case ascertainment and death ascertainment very important*
- Data quality indicators
  - Confidentiality issues related to complete date variables
  - Age is needed for LT
  - Survival interval immediately following diagnosis - impact on long term survival and measures of excess mortality related to treatment
  - Errors vs. non errors
    - Patients with "zero" survival time ???


Tools for calculating survival statistics

- SEER*Prep and SEER*Stat are powerful tools, freely available from NCI/IMS for use in calculating survival data.
- Other stat programs are available for more complex analyses (websites listed)
- Tools are there but some of the supporting data may be lacking
  - In US, availability of State and race/ethnic specific LT is limited
  - Cause of death for cause specific survival is not consistent

Work Remains!!!!

- Dual residence issue
- Multiple primary rules
- Data quality – incomplete vs. suppressed or tweaked data
- Quality of cause of death information on DC
- Availability of supporting information (LT)
- Choice of standard
Eventually we will get to here.....
Nationwide coverage of high quality and complete population-based cancer survival data available for cancer control, health policy and research use.

Questions?
Complete Case Identification and Ascertainment 7/7/11
Joyce Jones
CoC trained Independent Cancer Program Consultant

Coming up...
- July 7, 2011
  - Complete Case Identification and Ascertainment
  - Presented by Joyce Jones
    - CoC trained Independent Cancer Program Consultant
- August 4, 2011
  - NAACCR Interoperability Activities and the Electronic Health Record
  - Presented by NAACCR Path Data Workgroup
2011-2012 NAACCR Webinar Series

- Registration is open!